

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

5283

In Re Application: John Hendricks, et al.

Serial No.

09/400,296

Filing Date

September 21, 1999

Examiner

N/A

Group Art Unit

2766

Electronic Book Security and Copyright Protection System

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Dated: August 19, 2002

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CC:



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No: 3284

John S. HENDRICKS et al.

Attorney Docket No: 026880-00018

Serial Number: 09/400,296

Group Art Unit: 2135

Filed: September 21, 1999

Examiner: Beemnet W. Dada

For: ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

July 19, 2007

Sir:

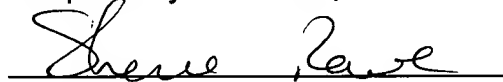
Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
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- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than

three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
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Respectfully submitted,



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Enclosure: Form PTO/SB/08 (with 48 references)



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a

Complete if Known

Application Number	09/400,296
Filing Date	September 21, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	2135
Examiner Name	Beemnet W. Dada
Attorney Docket Number	026880-00018

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	A2	US-4,023,408		05-17-1977	RYAN et al.	
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	A36	EP 140302	EP		05-08-1985	Ghosh et al.		
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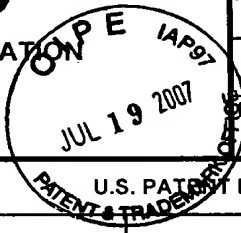
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

5283

SERIAL NO.

09/400,296

John Hendricks, et al.

FILING

September 21, 1999

GROUP

2766

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	2.	6,052,717	4/18/2000	Reynolds, et al.	709	218	
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	3.	WO 97/22067	6/19/1997	WIPO				
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	5.	WO 97/22102	6/19/1997	WIPO				

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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7.		WO 97/22103	6/19/1997	WIPO				
8.		WO 97/22063	6/19/1997	WIPO				
9.		WO 97/22105	6/19/1997	WIPO				
10.		WO 97/22104	6/19/1997	WIPO				

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11.	WO 97/22109	6/19/1997	WIPO				
	12.	WO 97/22112	6/19/1997	WIPO				
	13.	WO 97/22079	6/19/1997	WIPO				
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	15.	WO 97/22100	6/19/1997	WIPO				

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GROUP	2766
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16.	WO 97/22099	6/19/1997	WIPO				
	17.	WO 97/22097	6/19/1997	WIPO				
	18.	WO 97/22064	6/19/1997	WIPO				
	19.	WO 97/22108	6/19/1997	WIPO				
	20.	WO 97/22101	6/19/1997	WIPO				

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John Hendricks, et al.

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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							YES	NO
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	22.	WO 97/22106	6/19/1997	WIPO				
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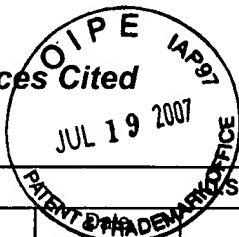
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	26.	WO 01/18665A2	3/15/2001	WIPO				
	27.	WO 95/15649	6/8/1995	WIPO				
	28.	WO 98/18086	4/30/1998	WIPO				
	29.	WO 98/08344	2/26/1998	WIPO				
	30.	WO 99/45491	9/10/1999	WIPO				

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PAGE 6 OF 6

Notice of References Cited



Application/Control No.

09/400,296

Applicant(s)/Patent Under
Reexamination
HENDRICKS ET AL.

Examiner

Beemnet W Dada

Art Unit

2135

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	MM-YYYY	Name	Classification
	A	US-5,247,575	09-1993	Sprague et al.	705/53
	B	US-4,625,076	11-1986	Okamoto et al.	713/176
	C	US-4,891,838	01-1990	Faber, Lawrence M.	713/202
	D	US-4,405,829	09-1983	Rivest et al.	380/30
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	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
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